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2 **Steady-State Levels of Troponin and Brain**  
3 **Natriuretic Peptide for Prediction of Long-Term**  
4 **Outcome after Acute Heart Failure with or**  
5 **without Stage 3 to 4 Chronic Kidney Disease**

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20 **ABSTRACT**  
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**Aims:** To determine whether assessment of a combination of steady-state discharge levels of biomarkers improves risk stratification after acute decompensated HF.

**Study design:** Retrospective cohort study.

**Place and Duration of Study:** Keio University Hospital, between January 2006 and September 2011.

We analyzed 244 patients with acute HF due to ischemic or dilated cardiomyopathy who were enrolled in a prospective, single institution-based registry between January 2006 and September 2011. Patients were stratified by discharge values of BNP and/or TnT. The primary endpoint was a composite of HF readmission or death during the 2-year period after discharge.

**Results:** The population was predominantly male (69.3%), and the mean age was 66.6 ± 15.3 years. Patients with higher BNP levels or detectable TnT had a worse prognosis (BNP 45.0% vs. 18.8%,  $p < 0.001$ ; TnT 43.8% vs. 25.1%,  $p = 0.002$ , respectively). The primary event rate was additively worse among patients with both increased BNP levels and detectable TnT compared to those with increased levels of BNP or detectable TnT alone (log-rank  $p < 0.001$ ). A similar trend was observed in the subgroup of patients with CKD stage III–V ( $n = 172$ ).

**Conclusion:** Assessment of both BNP and TnT values may have a significant predictive value for HF prognosis, even among patients with CKD, a condition affecting biomarker levels.

22  
23 *Keywords: biomarkers, heart failure, chronic kidney disease*  
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28 **1. INTRODUCTION**

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30 Heart failure (HF) is a disorder that has major clinical and public health impacts worldwide  
31 (Young JB, 2004). In Japan, the number of HF admissions reached 582,000 in 2008, and HF  
32 consumes a significant proportion of the funds allocated for cardiovascular care, which  
33 totaled \$713 billion in 2009 (Report from Japanese Health and Welfare., 2009). HF is  
34 estimated to affect over 5 million people in the US, and it is the most common Medicare  
35 diagnosis-related group. Furthermore, as the population ages, HF prevalence increases;  
36 currently, the HF incidence approaches 10 per 1000 among those older than 65 years, and  
37 the estimated cost of HF treatment in the United States was \$37 billion in 2009 (Lloyd-Jones  
38 D et al., 2009).

39 Several major advances in the management of HF have been achieved in the past decade,  
40 and some data suggest that these new advances are beginning to impact the prognosis of  
41 HF in the community (Polanczyk CA et al., 2000). One such advance is the reorganization of  
42 high-risk patients stratified by biomarkers. In particular, biomarkers representing a patient's  
43 fluid status (e.g., brain natriuretic peptide [BNP]) or degree of myocardial injury (e.g., highly  
44 sensitive troponins [TnT]) are valuable tools for predicting the long-term prognosis of  
45 HF (Peacock WF et al., 2008; Braunwald E, 2008; Brugger-Andersen T et al., 2008; Felker  
46 GM et al., 2000).

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48 However, the impact of the combination of BNP and TnT values has not been thoroughly  
49 investigated, particularly among patients with chronic kidney disease (CKD). Kidney disease  
50 affects HF through various mechanisms, including those described by the low-flow-state  
51 hypothesis, intraabdominal and central venous pressure elevation, sympathetic overactivity,  
52 rennin-angiotensin-aldosterone system overactivity, and oxidative injury. HF affects  
53 biomarker levels, but its impact on the long-term outcome is unclear. Data on biomarker  
54 levels are also particularly sparse in the Japanese population where a non-ischemic etiology  
55 is the dominant characteristic of HF. Therefore, we sought to investigate whether evaluation  
56 of a combination of biomarkers could improve risk stratification of patients with acute  
57 decompensated HF, particularly those with CKD.

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59 **2. MATERIAL AND METHODS / EXPERIMENTAL DETAILS / METHODOLOGY**

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61 **Study subjects**

62 Between January 2006 and September 2011, we prospectively registered 244 consecutive  
63 patients who were admitted to Keio University Hospital for the treatment of decompensated  
64 HF defined according to the Framingham criteria. To avoid the influence of acute coronary  
65 events and renal dysfunction, patients with clinical or electrocardiographic evidence of acute  
66 coronary syndrome in the previous 3 months, those with coronary revascularization in the  
67 previous 3 months, those with renal failure (serum creatinine concentration,  $\geq$ 2.5 mg/dl), and  
68 those undergoing hemodialysis were excluded (Srisawasdi P et al., 2010). Patients with  
69 terminal cancer, infections, and inflammatory diseases were also excluded. The primary  
70 endpoint was a composite of all-cause mortality plus HF requiring hospitalization. **The mean  
71 length of follow-up was 730 days (interquartile range 397 to 730).** Informed consent was  
72 obtained from each patient upon enrollment.

73 Dilated cardiomyopathy is characterized by dilatation and impaired contraction of 1 or both  
74 ventricles and an EF of <45% without the presence of obstructive coronary disease.  
75 Ischemic cardiomyopathy was defined by a-number-of-diseased-vessels classification which  
76 **is the presence of obstruction (more than 75%) in one or more coronary (Felker GM et al.,**

77 2002). The study protocol conformed to the ethical guidelines of the 1975 Declaration of  
78 Helsinki, and the study was approved by the institution's human research committee.

## 79 Measurement of cardiac biomarkers

80 Plasma TnT and BNP levels were measured before discharge. Commercially available  
81 assay kits were used for the measurement of TnT (4th generation high sensitivity assay,  
82 Roche Diagnostics, Tokyo, Japan) and BNP (Shionogi, Tokyo, Japan). The lower limit of  
83 detection for TnT was 0.01 ng/mL, and BNP was subclassified by level according to receiver  
84 operating characteristic (ROC) analysis (Cut-off value; 239.5 pg/mL). Serum creatinine and  
85 hemoglobin levels were determined by standard laboratory methods. Glomerular filtration  
86 rate (GFR) was estimated using the equation from the Modification of Diet in Renal Disease  
87 Study:  $eGFR (mL \cdot min^{-1} \cdot 1.73 m^{-2}) = 0.741 \times 175 \times Age^{-0.203} \times SCr^{-1.154}$  ( $\square$  0.724 for  
88 females). CKD was defined as an eGFR of <60 mL/min at the time of discharge. Diabetes  
89 mellitus was defined according to the criteria of the American Diabetes Association. Before  
90 discharge, experienced technicians who had no knowledge of the biochemical data  
91 performed two-dimensional echocardiography in a standard manner using a Hewlett  
92 Packard 5500. Clinical data were obtained by interviewing patients and from hospital  
93 medical records. Physicians were blinded to the data on biochemical markers, and treatment  
94 was selected based on the patient's symptoms and physical findings.

## 95 Statistical analysis

96 Categorical variables were expressed as numbers (percentages) and continuous variables  
97 were expressed as the mean  $\square$  standard deviation. An unpaired t-test and chi-square test  
98 were used for between-group comparisons of continuous and categorical variables,  
99 respectively. If the data were skewed, the nonparametric Mann-Whitney test was used to  
100 compare continuous variables. ROC analysis was performed to determine the cut-off values  
101 for the conversion of continuous variables into categorical variables when analyzing BNP.  
102 Overall survival and survival without hospitalization for HF were analyzed by the Kaplan-  
103 Meier method, and the curves were compared by the log-rank test. We also performed an  
104 analysis based on the presence/absence of CKD.

105 Univariate and multivariate Cox regression analyses were performed to determine the  
106 associations between TnT and other variables. For each covariate, categorical variables  
107 were allowed to enter in a stepwise forward multivariate Cox model with the use of a  
108 probability value  $\leq 0.10$  for inclusion or  $\leq 0.05$  for deletion. The multivariate model included  
109 categorical variables that were statistically significant according to univariate analysis as well  
110 as clinically important. A P value of <0.05 was considered significant. Statistical analyses  
111 were performed with SPSS version 16.0 software (SPSS Inc., Chicago, Illinois).

## 113 3. RESULTS AND DISCUSSION

### 115 Study population

116 At baseline, the mean age of all patients was  $66.6 \pm 15.3$  years; 69.3% were men.  
117 About half of the patients had non-ischemic cardiomyopathy (47.5%). TnT was detectable  
118 ( $\geq 0.01$  ng) in 73 (29.9%) patients at discharge, with interquartile range 0.00 to 0.02. The  
119 median level of BNP was 206 pg/mL (interquartile range 108 to 490) at discharge. Notably,  
120 our population included a large group of patients with CKD (68.0%). Threshold for BNP was  
121 determined via ROC curve analysis (239.5 pg/mL). TnT threshold for detectable vs non  
122 detectable was 0.01 ng/mL.

123 The patients were subsequently divided into 3 groups based on biomarker levels at  
124 discharge: patients with both lower BNP levels and undetectable TnT (group 0, n = 107);  
125 those with either higher BNP levels or detectable TnT (group 1, n = 84); and those with both  
126 higher BNP level and detectable TnT (group 2, n = 53). Patients with elevated biomarker  
127 levels also had a greater likelihood of diabetes mellitus ( $p = 0.007$ ), factors suggestive of  
128 impaired renal function (such as eGFR,  $p < 0.001$ ), elevated blood urea nitrogen ( $p < 0.001$ ),  
129 and a higher rate of CKD ( $p < 0.001$ ) and anemia ( $p < 0.001$ ) at discharge (Table 1).

## 130 **Outcomes**

131 During the 2-year follow-up period, 75 (30.7%) events were recorded (28 deaths and 66  
132 readmissions). Kaplan-Meier event curves comparing the prognosis of patients with higher  
133 vs. lower BNP levels, and patients with detectable vs. undetectable TnT levels are shown in  
134 Figure 1A. During the follow-up period, primary event rates were higher among patients with  
135 elevated BNP levels (log-rank test;  $p < 0.001$ ) or detectable TnT ( $p = 0.007$ ) compared with  
136 those with lower BNP levels or undetectable TnT. When the patients were stratified into 3  
137 groups based on biomarker values, the primary event rate was additively worse among  
138 patients with both increased BNP values and detectable TnT levels (Figure 1B; log-rank  $p <$   
139  $0.001$ ). For the individual comparison, there were statistically significant differences in the  
140 outcome of both biomarker positive groups (double and single positive group) compared to  
141 control group ( $p < 0.001$ ). There were no statistically significant difference in the outcome of  
142 double-positive (TnT[+]/BNP[+]) when compared to single-positive group (TnT[+] or BNP[+];  
143  $p = 0.318$ ). Similar trend was seen when the outcome of death and heart failure were  
144 assessed individually.

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## 146 **Outcomes of the CKD subgroup**

147 The presence of CKD had a significant negative impact on patient survival; overall, CKD  
148 patients had significantly more primary events than did non-CKD patients (log-rank test;  $p =$   
149  $0.004$ ). A separate analysis was performed to demonstrate the impact of biomarkers in CKD  
150 patients (Figure 2A) in comparison to non-CKD patients (Figure 2B). Similar to our main  
151 results, primary events were more frequent among patients with higher BNP levels (log-rank  
152 test;  $p = 0.007$ ) or detectable TnT levels ( $p = 0.082$ ) compared with their counterparts. Event  
153 rates were also additively worse as the number of elevated biomarkers increased (Figure  
154 2B; log-rank  $p = 0.012$ ).

## 155 **Univariate and multivariate Cox hazard models**

156 The results of the univariate and multivariate models are shown in Table 2. Significant  
157 predictors of events were age (HR 1.02; 95% CI, 1.01–1.04;  $p = 0.005$ ), BNP levels (HR,  
158 2.86; 95% CI, 1.77–4.63;  $p < 0.001$ ), TnT levels (HR, 2.01; 95% CI, 1.27–3.16;  $p = 0.003$ ),  
159 and the combination of BNP/TnT levels (HR, 1.94; 95% CI, 1.46–2.59;  $p < 0.001$ ). When  
160 adjusted for known predictors, the combination of BNP/TnT levels was associated with the  
161 combined outcome of HF readmission and all-cause mortality (HR, 1.02; 95% CI, 1.00–1.05;  
162  $p = 0.046$  and HR = 1.544; 95% CI, 1.11–2.15;  $p = 0.010$ , respectively). A similar trend was  
163 observed in the analysis of HF patients with CKD (Table 2).

164

## 165 **Discussion**

166 The present study revealed that discharge levels of both BNP and TnT were associated with  
167 the composite of HF readmission and all-cause mortality in patients with acute

168 decompensated HF. In addition, the combination of elevated BNP and TnT levels further  
169 stratified the risk, and had an additive effect on the patients' long-term prognosis. Measuring  
170 the combination of these biomarkers on discharge appeared to be a useful method for  
171 stratification of HF patients, including those with CKD.

172 Ample evidence has demonstrated the value of natriuretic peptides for predicting adverse  
173 outcomes, and the prognostic potential of BNP values was examined in the multicenter  
174 Rapid Emergency Department Heart Failure Outpatients Trial (REDHOT), which showed  
175 that BNP values were very strong predictors of 90-day outcomes (Maisel A et al., 2004). The  
176 many mechanisms of BNP release in acute HF, including myocyte stretch and cardiac  
177 remodeling, show why these markers are so profoundly prognostic in HF patients.

178 Serum biomarkers of cardiac stress and malfunction as well as myocyte injury have grown in  
179 clinical importance for predicting the prognosis of HF patients. TnT is produced from cardiac  
180 myocytes as a consequence of myocardial ischemia. The level of TnT has a significant  
181 negative predictive value among HF patients with CKD (Koide K et al., 2010). Furthermore,  
182 patients with preserved kidney condition may very well be able to tolerate ongoing  
183 myocardial injury, whereas patients with CKD are strongly affected by troponin leakage  
184 (Kociol RD et al., 2010).

185 The assessment of a combination of these conventional biomarkers could potentially  
186 improve the risk stratification of acute decompensated HF patients; moreover, kits for such  
187 assessments are both inexpensive and widely available. In previous studies, Ishii et al.  
188 reported that the combination of cardiac TnT and BNP levels on admission might be highly  
189 effective for the risk stratification of patients with chronic HF (Ishii J et al., 2002), but little  
190 information is available on the association of this combination of biomarkers after acute  
191 decompensation of HF (Nishio Y et al., 2007; Taniguchi R et al., 2006). In our study, CKD  
192 had a significant impact on the long-term outcome, as has previously been reported, and we  
193 demonstrated that patients with CKD can also be efficiently stratified by levels of BNP, TnT,  
194 and the combination of these 2 biomarkers. Patients with even mild chronic renal  
195 insufficiency have significantly increased cardiovascular morbidity and mortality, and chronic  
196 renal insufficiency also affects concentrations of biomarkers (Heywood JT et al., 2007;  
197 Ronco C et al., 2008; McAlister FA et al., 2004). We showed, for the first time, that the  
198 prognostic value of biomarkers and their combination is not necessarily altered by the  
199 presence of renal impairment.

200 Our study has several limitations. Since we performed a retrospective analysis of registry  
201 data, we cannot establish cause and effect. However, the associations are consistent with  
202 prior analyses of troponin in patients with acute decompensated HF. Plenty of factors could  
203 have affected the results of the biomarker tests. First, we used the results of various  
204 biomarker assays for which we defined cutoff points rather than core laboratory results.  
205 Second, bias may have been introduced because we were unable to analyze patients with  
206 HF in whom troponin was not assessed, and we were unable to determine why physicians  
207 obtained, or did not obtain, biomarker measurements. Third, our cohort included mainly  
208 Japanese individuals, and there is some difference between Japan and western countries in  
209 terms of HF etiology and medication (Oshima K et al., 2009). We also did not have  
210 information on BNP isoform. However, most of them showed equal predictability when  
211 compared to original BNP assay (van Kimmenade RR et al., 2009) and we believe that the  
212 absence of BNP isoform measurements will not alter our main results. Fourth, the 4<sup>th</sup>  
213 generation cTnT assay was used in our study, which is no longer available in most parts of  
214 the world, and BNP was measured with Shionogi assay which is not used outside of Japan.  
215 This BNP assay is known to provide lower values than most of the commercially available  
216 BNP assays. Thus, the study have limited generalizability, but we believe that they confirm

217 the principle that discharge values of both markers are suitable for risk stratification. Finally,  
 218 we excluded the patients undergoing haemodialysis (CKD stage 5) since effect of  
 219 biomarkers was unclear in these patients. BNP is known to increase exponentially with the  
 220 stage of renal disease and likely skew the main result of our analysis.

221 **Table 1. Baseline characteristics of the patients by the BNP and TnT values.**  
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	All (=244)	Group 0(=107)	Group 1(=84)	Group 2(=53)	P value	
<b>Patients characteristics</b>						
Age	66.6±15.3	61.1±14.9	69.7±15.0	72.9±12.8	<0.001	
Male (%)	69.3	70.1	66.7	71.7	0.799	
Etiology (%)	DCM	47.5	58.9	36.9	41.5	
	ICM	27.0	18.7	32.1	35.8	0.018
	others	25.4	22.4	31.0	22.6	
DM (%)	30.5	24.3	27.4	48.1	0.007	
AF/Af (%)	34.0	29.0	41.7	32.1	0.174	
smoke (%)	36.1	42.7	34.4	25.0	0.153	
BMI	23.3±5.6	24.3±4.7	22.2±6.3	22.3±6.1	0.030	
HTN (%)	44.6	44.0	43.8	47.2	0.914	
<b>On admission</b>						
NYHA ≥2 (%)	77.2	70.2	82.1	83.0	0.079	
eGFR	50.8±20.2	58.4±17.1	48.8±20.9	38.6±18.1	<0.001	
CKD (%)	68.0	52.3	76.2	86.8	<0.001	
Hb	12.9±2.4	13.6±2.2	12.7±2.4	11.7±2.3	<0.001	
sBP	130.0±29.2	131.4±28.3	128.8±30.5	129.1±29.2	0.808	
HR	89.1±24.9	90.6±27.8	86.8±23.6	89.6±20.2	0.602	
Na	139.5±4.2	140.5±3.1	138.9±5.0	138.6±4.3	0.007	
K	4.4±0.5	4.3±0.4	4.4±0.5	4.5±0.7	0.126	
BUN	23.0±10.8	19.3±7.2	23.7±12.3	29.2±11.2	<0.001	
<b>On discharge</b>						
NYHA =>2 (%)	2.1	0	2.4	5.8	0.055	
LAD	45.1±10.2	45.3±10.3	43.8±9.3	46.6±11.7	0.324	
LVDd	56.8±14.9	58.4±15.5	54.7±14.8	56.6±13.3	0.233	
LVEF	35.8±13.5	35.9±13.2	35.9±14.1	35.6±13.5	0.991	
eGFR	50.3±20.0	57.6±18.2	47.4±20.3	40.1±17.5	<0.001	
CKD (%)	70.5	56.1	78.6	86.8	<0.001	

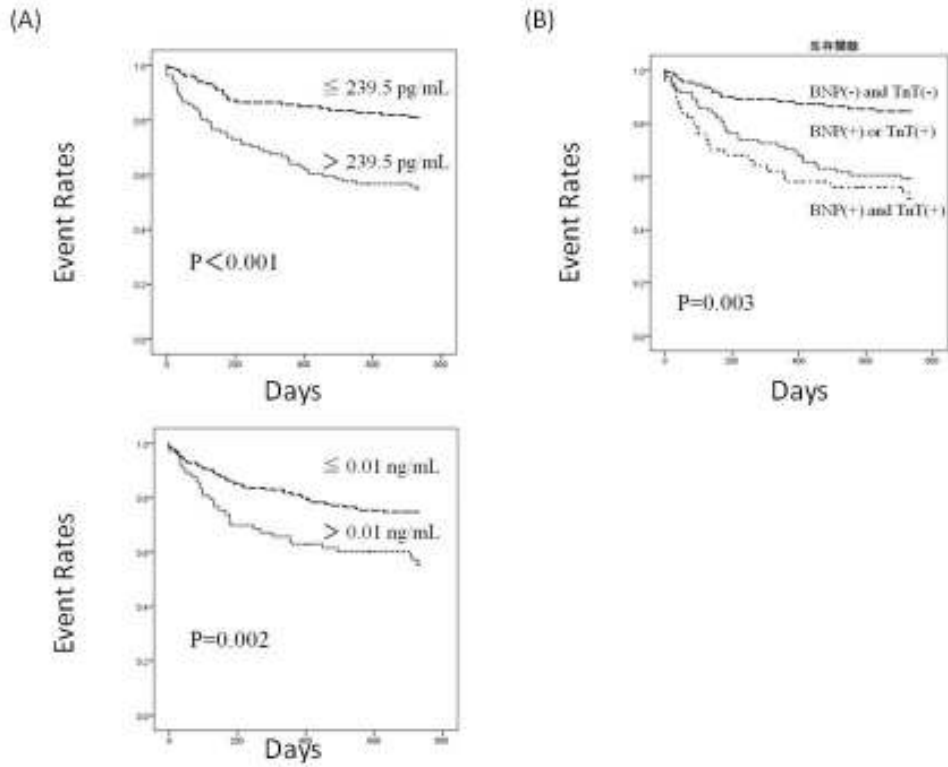
Hb	13.0±2.4	13.9±2.2	12.8±2.5	11.8±2.2	<0.001
sBP	108.0±17.4	107.6±17.2	109.3±19.0	109.8±15.0	0.688
HR	73.3±15.4	72.3±13.6	74.8±17.4	72.8±16.0	0.560
Na	138.3±3.7	138.6±2.9	138.5±4.1	137.4±4.4	0.110
K	4.5±0.5	4.5±0.4	4.4±0.4	4.6±0.5	0.036
BUN	25.5±12.8	21.1±9.6	25.1±10.8	35.1±16.2	<0.001
<b>Medication</b>					
BB (%)	78.7	82.1	75.9	76.0	0.516
AceiARB (%)	74.9	82.2	72.3	64.2	0.030
Diuretics (%)	77.9	78.5	72.6	84.9	0.236
Warfarin (%)	37.3	38.3	34.5	39.6	0.800
Aspirin (%)	34.2	30.8	40.5	30.8	0.320
<b>Biomarker</b>					
BNP	350.3±439.5	122.8±94.2	385.7±267.5	753.6±704.2	<0.001
TnT	0.03±0.14	0	0.015±0.039	0.13±0.28	<0.001

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**Table 2. Result of multivariable analysis. Predictors of composite outcome in all patients and in subgroup of patients with CKD.**

<b>All</b>					
	<b>HR</b>	<b>95%CI</b>	<b>95%CI</b>	<b>P value</b>	
Age	1.003	0.982	1.023	0.806	
Left atrial diameter	1.024	1.000	1.049	0.046	
CKD	1.507	0.748	3.034	0.251	
Hemoglobin	0.957	0.848	1.079	0.473	
BUN	1.007	0.986	1.029	0.504	
Use of ACE inhibitors or ARB	0.680	0.398	1.163	0.159	
Positive BNP or TnT values on discharge	1.544	1.110	2.146	0.010	
<b>CKD</b>					
	<b>HR</b>	<b>95%CI</b>	<b>95%CI</b>	<b>P value</b>	
Left atrial diameter	1.025	0.998	1.053	0.071	
Left ventricular diastolic dimension	1.025	1.000	1.051	0.048	
BUN	1.014	0.992	1.036	0.224	
Use of ACE inhibitors or ARB	0.595	0.324	1.023	0.063	
Positive BNP or TnT values on discharge	1.435	1.020	2.020	0.008	

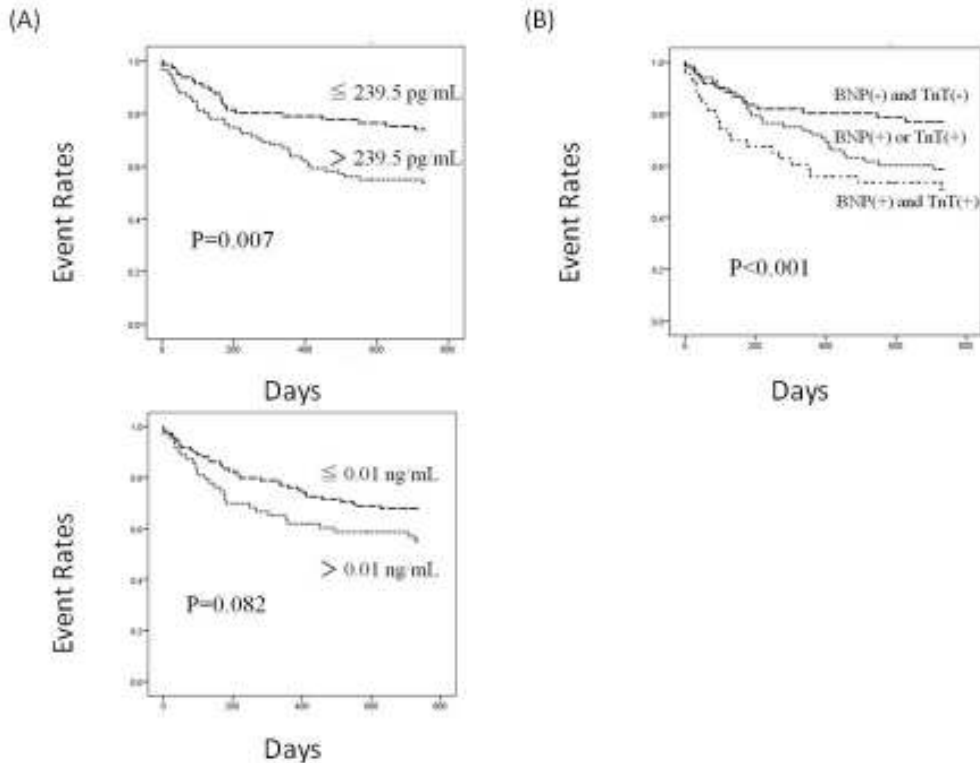
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**Fig. 1. The long-term prognosis by the stratification of BNP and TnT in all patients. (A) The long-term prognosis of the HF patients stratified by the combination of BNP and TnT level.(B)**





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**Fig. 2. The long-term prognosis by the stratification of BNP and TnT in subgroup of patients with CKD. (A) The long-term prognosis of the HF patients with CKD stratified by the combination of BNP and TnT level. (B)**

#### 4. CONCLUSION

In conclusion, our study suggests that levels of both BNP and TnT have a significant predictive value for the prognosis of HF. Assessment of the combination of these biomarkers, which is both inexpensive and readily available, may provide additional information.

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#### COMPETING INTERESTS

Authors have declared that no competing interests exist.

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