

1 **Immunomodulatory effects of aqueous extracts of *Auricularia* sp and**  
2 ***Pleurotus* sp mushrooms in cyclophosphamide-immunosuppressed**  
3 **Wistar rats**

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16 **ABSTRACT**

**Aims:** To determine the immunomodulatory effect of aqueous extracts of *Auricularia* sp and *Pleurotus* sp mushrooms using an immunosuppression animal model.

**Study design:** Pre-clinical experimental study.

**Place and Duration of Study:** Department of Pharmacology & Therapeutics, College of Health Sciences and Division of Pharmacology, Department of Physiological Sciences, School of Veterinary Medicine, Makerere University, between August 2010 and December 2011.

**Methodology:** A total of 80 *Wistar* rats divided into 8 groups (n=10) were used in the experimental study. Cyclophosphamide (10mg/kg) was administered orally (p.o) to fifty (50) *Wistar* rats in the first 5 groups for 28 days. In addition, rats in Group I received distilled water, groups II & III received 300mg/kg & 600mg/kg of *Auricularia* sp extract respectively and Groups IV & V received 400mg/kg & 800mg/kg *Pleurotus* sp extract respectively. *Wistar* rats in Group VI received only 300mg/kg *Auricularia* sp extract, group VII received 400mg/kg *Pleurotus* sp extract and Group VIII received only distilled water. Blood samples were collected on days 0, 14 and 28 to determine the total and differential WBC counts. Data is presented as mean±SEM and analyzed using one-way ANOVA followed by a student's t-test for statistical significance. Mean values are compared with initial values and the control group (Group VIII).

**Results:** No mortality of *Wistar* rats was observed over the 28-day experimental period. Cyclophosphamide though caused statistically significant ( $p<0.05$ ) reduction in total WBC on day 14 and 28 compared with day 0 in control group from  $11.26\pm0.59$  on day 0 to  $6.11\pm0.41$  day 14, &  $4.12\pm0.22$  on day 28. Lymphocytes and Neutrophil counts were also significantly reduced in control group by day 28 compared to mushroom extract treated rats. Results show that aqueous extracts of *Auricularia* sp & *Pleurotus* sp mushrooms significantly ( $p<0.05$ ) moderated the reductions in total & differential WBC on day 14 and 28 as compared to the control group. The mushroom extracts also increased total and differential WBC in normal rats as compared to the normal group (Group VIII).

**Conclusion:** Aqueous extracts of *Auricularia* sp and *Pleurotus* sp mushrooms moderated cyclophosphamide-induced reduction in WBC in *Wistar* rats indicating potential benefit in chemotherapy induced immunosuppression. Application of these mushrooms in immune suppression research appears to be new as reflected in the literature. These are however preliminary data to be more completely documented by further experiments, possibly investigating also some aspect of immune cell functions (e.g. cytotoxicity or cytokine production).

17 **Keywords:** Immunomodulatory, aqueous extract, immunosuppression, Wistar rats, wild  
18 edible mushrooms  
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## 20 1.0. INTRODUCTION

21  
22 Cyclophosphamide is probably one of the most prescribed anticancer drugs used for  
23 treatment of various forms of cancers. It is nitrogen mustard whose mode of action  
24 involves addition of alkyl groups to DNA thus slowing or stopping tumour growth  
25 (Bauman *et al.*, 2001). Besides the cytotoxic effects of cyclophosphamide towards  
26 tumour cells, it also affects other cell types in the body most notably the immune cells  
27 which protect the body from harmful agents (Hou *et al.*, 2007). Immunosuppression  
28 caused by cyclophosphamide and other anticancer drugs significantly complicates the  
29 course of cancer chemotherapy and [worsens the condition of the patients](#).

30  
31 In regard to the immunosuppressive effects of anticancer chemotherapy, the stimulation  
32 of production of immune cells in an immunosuppression model has been classified as  
33 immunomodulation (Vigila *et al.*, 2008). In fact, attempts are being made to incorporate  
34 traditional medicines with cancer chemotherapy to reduce the side effects of anticancer  
35 drugs through this immunomodulation (Gupta *et al.*, 2010; Shukla, *et al.*, 2010). There is  
36 growing interest among biomedical scientists in the ability of some natural products to  
37 stimulate the production of immune cells in immunosuppressed animal models. Several  
38 sources including mushrooms are being screened for immunomodulatory compounds  
39 that can be used to enhance cancer chemotherapy.

40  
41 Mushrooms ([including those of the genera \*Pleurotus\* and \*Auricularia\*](#)) which are popular  
42 for their nutritional and medicinal properties have recently been extensively investigated  
43 for their anticancer and immunomodulatory effects (e.g. Morris *et al.*, 2003; Wasser *et*  
44 *al.*, 2010). Mushrooms [from the genera \*Pleurotus\* and \*Auricularia\*](#) are reported to  
45 possess antibacterial, anti-tumour activity, antioxidant, anti-hypercholesteremic and  
46 immunomodulatory effects (e.g. Zeng *et al.*, 1994; Refaie, *et al.*, 2009; Morris *et al.*,  
47 2011; Zhang *et al.*, 2011). [There are, however, various species of mushrooms in these](#)  
48 [two genera which are yet to be identified and their medicinal potential profiled. Moreover,](#)  
49 [in many tropical countries, mushrooms comprise a vast and yet largely untapped source](#)  
50 [of powerful new pharmaceutical products and they represent an unlimited source of](#)  
51 [polysaccharides with antitumour and immunostimulating properties \(Wasser, 2002\).](#) In  
52 Uganda, *Auricularia* sp (wood ear) and *Pleurotus* sp (oyster) mushrooms which naturally  
53 grow on decaying logs in [the rainforests](#) are [believed to be](#) traditionally used for  
54 medicinal purposes by local communities for treatment of various ailments.  
55 Polysaccharides, proteins and other compounds previously isolated from [mushroom](#)  
56 [species of these two genera](#) have been found to stimulate immune cells both in vitro and  
57 in vivo (Synistya, *et al.*, 2008). [Indeed](#), there is a great deal of evidence that species from  
58 these two [genera might be](#) a potential source of immunomodulatory compounds that can  
59 benefit patient care. In this study, we investigated the potential benefits of the aqueous  
60 extracts of [a \*Pleurotus\* sp. and \*Auricularia\* sp. wild mushrooms](#) on markers of  
61 cyclophosphamide induced immunosuppression in using male *Wistar* rat model.

62 **2.0. MATERIAL AND METHODS**

63 **2.1. Experimental animals**

64 One hundred (100) healthy male *Wistar* albino rats of approximately 8 weeks of age  
65 were purchased from the Faculty of Veterinary Medicine, Makerere University and  
66 maintained at a temperature of  $25 \pm 1$  °C and relative humidity of 45 to 55% under 12-hr  
67 light: 12-hr dark cycle. The animals were allowed a 1 week acclimatization period with  
68 free access to food pellets and water *ad libitum*.

69

70 **2.2. Mushroom samples and preparation of mushroom aqueous extract**

71 The fruiting portion of the *Auricularia* sp. and *Pleurotus* sp mushrooms were collected  
72 from decaying logs and tree branches in Mabira and Mpanga Forest reserves in Uganda.  
73 Identification and authentication of specimens was done by a mycologist at the  
74 Department of Botany, Makerere University. Aqueous extracts were prepared from air-  
75 dried mushrooms using the methods described by Badole *et al.*, (2009) and Mengyao *et*  
76 *al.*, (2009). Five hundred (500g) of the air-dried mushroom samples were powdered  
77 mechanically and mixed into 1L of distilled water. The mixture was boiled for 1hr at  
78 100°C with frequent stirring and then left to cool. The extract was then filtered and  
79 concentrated using a freeze drier. The resulting brown concentrate was then  
80 reconstituted using distilled water for a final weight per volume of 100mg/mL and stored  
81 in a refrigerator at 4°C until when it was required for use in the experiments.

82

83 **2.3. Experimental design**

84 The immunosuppression model for cyclophosphamide developed by Hou *et al.*, (2007),  
85 in *Wistar* albino rats was used to evaluate the immunomodulatory effect of the  
86 mushroom extracts. Eighty (80) healthy male *Wistar* albino rats were randomized into  
87 eight groups (n=10). *Wistar* rats from 5 groups had induction of immunosuppression  
88 using 10mg/kg body weight cyclophosphamide and then received either mushroom  
89 extracts or distilled water as follows;

90 Group I: 2ml of distilled water + cyclophosphamide (10mg/kg b.w)

91 Group II: 300mg/kg *Auricularia* sp extract + cyclophosphamide (10mg/kg b.w)

92 **Group III: 600mg/kg *Auricularia* sp extract + cyclophosphamide (10mg/kg b.w)**

93 Group IV: 400mg/kg *Pleurotus* sp extract + cyclophosphamide (10mg/kg b.w)

94 Group V: 800mg/kg *Pleurotus* sp extract + cyclophosphamide (10mg/kg b.w)

95 Group VI: 300mg/kg *Auricularia* sp extract only

96 Group VII: 400mg/kg *Pleurotus* sp extract only

97 Group VIII: 2ml distilled water only

98 All treatments were administered via oral intra-gastric tubing.

99 Selection of the two doses of mushroom extracts corresponded to doses that were 1/32  
100 and 1/16 of the LD50 values (i.e. 9638.4mg/kg and 11641mg/kg for *Auricularia* and  
101 *Pleurotus* respectively) calculated from the acute toxicity study we conducted on the  
102 same mushrooms.

103 **2.3.1. Animal monitoring**

104 On experimental days 0, 14 and 28, whole blood samples were drawn from the tail vein  
105 of each *Wistar* rat into EDTA containers (1mL) and processed for total and differential  
106 WBC. Body weights were recorded weekly throughout the experimental 28 day period.

107

108 **2.4. Statistical analysis**

109 Data was presented as mean±SEM and analyzed for differences using One way ANOVA  
110 followed by a Student-Neumann-Keuls t-test. Comparison of mean WBC counts was  
111 done for test group with initial and the control group. The p-values <0.05 were  
112 considered statistically significant at 95% confidence level using Graph Pad Prism for  
113 Windows, version 5.0 (Graph Pad Software Inc., San Diego, CA, 2005).

114

115 **2.5. Ethical issues**

116 The experimental animals were handled in accordance with the [Organization for](#)  
117 [Economic Cooperation and Development](#) (OECD) guidelines for testing chemicals and  
118 were allowed free access to food and clean water *ad libitum*. The experimental protocol  
119 was approved by the Makerere University, College of Health Sciences, Research and  
120 Ethics Committee.

121

122

123 **3.0. RESULTS AND DISCUSSION**

124 *Wistar* rats treated with cyclophosphamide alone (Group I) had significant reduction in  
125 total white blood cells (WBC) ( $p < 0.001$ ; Table 1) and differential white blood cell (i.e.  
126 Lymphocyte and Neutrophils) counts on days 14 and 28 compared to day 0 (Table 2 &  
127 3). In addition to cyclophosphamide, *Auricularia* sp (Group II & III) and *Pleurotus* sp  
128 (Group IV&V) extract treated rats had moderate reductions in total WBC and differential  
129 white cell counts on days 14 and 28 compared to day 0. The mean WBC counts in  
130 extract treated rats were all greater than those of Group I at day 14 & 28 (Table 1). The  
131 rise in the total WBC count lowered by cyclophosphamide in *Wistar* rats was observed at  
132 300 mg/kg and 600mg of *Auricularia* sp, and 400mg/kg and 800mg/kg for *Pleurotus* sp  
133 extract. Hence, both extracts had a dose dependent increase in stimulation of WBC  
134 although *Auricularia* sp extract had higher total WBC compared to *Pleurotus* treated rats.  
135 The *Wistar* rats treated with both mushroom species aqueous extracts had their white  
136 cell counts restored to almost near initial levels recorded on day 0 which were  
137 significantly greater than those observed in the control group. There was a significant  
138 increment in total and differential white cell counts in normal *Wistar* rats treated with  
139 300mg/kg *Auricularia* extract (i.e. Group VI;  $p < 0.001$ ) and 400mg/kg *Pleurotus* sp  
140 extract (i.e. Group VII;  $p < 0.05$ ) compared to those in the control group (Tables 1, 2, &  
141 3). Elsewhere, aqueous and ethanolic extracts from *Pleurotus* fruiting bodies powder  
142 have been reported to have an in vitro lymphoproliferative-stimulating response  
143 (Llauradó *et al.*, 2012).

144 **Table 1. Mean total WBC of *Wistar* rats on day 0, 14 & 28**

Group	Day 0	Day 14	Day 28
Group I	11.26±0.59	6.11±0.41**	4.12±0.22**
Group II	10.17±0.56	8.56±0.41 <sup>a</sup>	8.77±0.85 <sup>a</sup>
Group III	9.82±0.36	8.69±0.34 <sup>a</sup>	8.41±0.23 <sup>a</sup>
Group IV	10.07±0.74	7.07±0.38 <sup>a</sup>	6.01±0.48**
Group V	10.52±0.44	8.76±0.36 <sup>a</sup>	8.93±0.20 <sup>a</sup>
Group VI	10.28±0.28	11.95±0.42 <sup>a</sup>	12.15±0.72 <sup>a</sup>
Group VII	10.91±0.31	11.44±0.32 <sup>a</sup>	11.58±0.21 <sup>a</sup>
Group VIII	10.77±0.21	10.75±0.32 <sup>a</sup>	10.67±0.38 <sup>a</sup>

145 \*\**p* < 0.05 compared with initial values at day 0 in same group, <sup>a</sup>*p* < 0.05 compared with Group I

146

147 **Table 2. Mean lymphocyte counts of *Wistar* rats on day 0, 14 & 28**

Group	Day 0	Day 14	Day 28
Group I	44.83±4.11	27.76±2.40**	26.42±2.65**
Group II	41.18±1.95	32.04±1.55** <sup>a</sup>	37.97±0.97 <sup>a</sup>
Group III	40.70±1.60	39.93±0.34 <sup>a</sup>	41.47±1.96 <sup>a</sup>
Group IV	39.90±1.39	31.25±1.50** <sup>a</sup>	31.91±1.16** <sup>a</sup>
Group V	42.83±2.07	34.99±2.40* <sup>a</sup>	35.69±1.49 <sup>a</sup>
Group VI	40.61±1.82	41.26±1.42 <sup>a</sup>	46.82±1.63 <sup>a</sup>
Group VII	40.10±1.43	41.19±0.89 <sup>a</sup>	41.60±1.15 <sup>a</sup>
Group VIII	38.56±1.63	37.64±1.51 <sup>a</sup>	39.27±1.48 <sup>a</sup>

148 \*\**p*<0.05 compared with initial values at day 0 in same group, <sup>a</sup>*p*<0.05 compared with Group I

149

150 **Table 3. Mean Neutrophil counts of *Wistar* rats on day 0, 14 & 28**

Group	Day 0	Day 14	Day 28
Group I	48.01±1.80	37.80±2.78**	37.14±5.15**
Group II	48.17±0.82	43.50±3.56** <sup>a</sup>	40.77±1.97 <sup>a</sup>
Group III	48.93±1.60	45.48±3.56 <sup>a</sup>	48.00±2.38 <sup>a</sup>
Group IV	50.33±1.61	37.57±1.41** <sup>a</sup>	37.29±1.91** <sup>a</sup>
Group V	49.60±0.86	45.20±2.83* <sup>a</sup>	40.91±1.24 <sup>a</sup>
Group VI	52.55±2.34	51.39±1.53 <sup>a</sup>	51.44±0.74 <sup>a</sup>
Group VII	49.23±1.47	51.20±0.74 <sup>a</sup>	50.72±2.12 <sup>a</sup>
Group VIII	49.66±1.26	49.08±2.23 <sup>a</sup>	48.98±1.14 <sup>a</sup>

151 \*\**p* < 0.05 compared with initial values at day 0 in same group, <sup>a</sup>*p* < 0.05 compared with Group I

152

153 In our study, administration of cyclophosphamide at 10mg/kg to daily to *Wistar* rats  
 154 successfully caused significant immunosuppression as previously described in a similar  
 155 animal model (Hou *et al.*, 2007). Both total and differential WBC counts were severely  
 156 reduced in *Wistar* rats receiving cyclophosphamide only on days 14 and 28 owing to the  
 157 effects of the drug on the bone marrow. The bone marrow has a high rate of cell  
 158 proliferation and this makes it a sensitive target for cyclophosphamide cytotoxicity

159 (Shukla *et al.*, 2010). Destruction of stem cells in the bone marrow results into  
160 leucopenia manifested as reduced levels of total and differential WBC in *Wistar* rats  
161 (Ghule *et al.*, 2006).

162

163 The increased WBC number as demonstrated in this study would be an important  
164 contributing factor to reduce the risk of various infectious diseases in immunosuppressed  
165 patients consuming these two studied mushroom species (Morris *et al.*, 2003). The  
166 stimulation of production of White blood cells (WBC) in an immunosuppressed animal  
167 model has been classified as an immunomodulatory effect (Vigila *et al.*, 2008; Shukla *et*  
168 *al.*, 2010). Aqueous extracts of *Auricularia* sp and *Pleurotus* sp mushrooms moderated  
169 the immunosuppressive effects of cyclophosphamide in male *Wistar* rats at doses that  
170 were far below the estimated lethal doses. This effect was considered a significant  
171 immunomodulatory effect of the two mushroom extracts in cyclophosphamide  
172 immunosuppressed *Wistar* rats. The extracts of *Auricularia* sp and *Pleurotus* sp  
173 mushrooms were found to increase total and differential WBC which was reduced by  
174 cyclophosphamide in *Wistar* rats. Both mushroom extracts were used at doses 1/16 and  
175 1/32 levels below the estimated LD<sub>50</sub> values of each mushroom species (i.e.  
176 9638.4mg/kg and 11641mg/kg for *Auricularia* and *Pleurotus* respectively). The increased  
177 neutrophils (Table 3) in the immunosuppressed organisms is crucial for their survival as  
178 they make the innate immune system, and mount an immediate non-specific response  
179 to foreign microbial agents (Obameso *et al.*, 2011).

180

181 The present results demonstrate that the aqueous extracts of *Auricularia* sp and  
182 *Pleurotus* sp mushrooms can stimulate the activity of bone marrow to produce WBC. It  
183 also demonstrates that there are more species of mushrooms in the genera *Pleurotus*  
184 and *Auricularia* that have medicinal values and are yet to be tested. In normal *Wistar*  
185 rats, both extracts increased the total and differential WBC at doses 1/32 of their LD<sub>50</sub>  
186 values. This observation may explain the observed restoration of WBC levels in  
187 immunosuppressed *Wistar* rats by the mushroom extracts on day 14 and 28. The results  
188 also suggest that aqueous extracts of the studied *Auricularia* sp mushrooms may  
189 possess greater immunomodulatory effects than those of *Pleurotus* sp. This is based on  
190 the observation that extracts of *Auricularia* sp mushrooms were used at a lower dose  
191 than for the *Pleurotus* sp mushroom in the immunomodulatory experiments.

192

193 The mechanisms through which *Auricularia* sp and *Pleurotus* sp mushrooms stimulate  
194 production of WBC in immunosuppressed rats was not explored in this study. However,  
195 we hypothesize that the observed immunomodulatory effect of these mushrooms may be  
196 related to compounds like proteins and polysaccharides previously isolated from  
197 mushrooms and reported to have immunomodulatory potential both *in vivo* and *in vitro*  
198 elsewhere (Zuzek *et al.*, 2006; Liao *et al.*, 2006 & Zhang *et al.*, 2011). The  
199 immunostimulant action of studied *Pleurotus* sp and *Auricularia* sp mushrooms suggest

200 that they may be enhancing the humoral and cellular immune responses by either  
201 enhancing cytokine secretion or by directly stimulating B- or T-Lymphocytes (Tan *et al.*,  
202 2004). Elsewhere, some mushroom species of the genus *Auricularia* have been shown  
203 to produce many different proteins and polysaccharides that stimulate the immune  
204 system in humans or in some cases cause the production of interferon and interleukins  
205 that then stop the proliferation of cancer cells (Aremu *et al.*, 2008; Shauket *et al.*, 2011).  
206 On the basis of the current data, we demonstrated that both *Auricularia* sp and *Pleurotus*  
207 sp mushrooms may be of potential benefit in anticancer-drug induced  
208 immunosuppression. Our findings suggest that oral administration of *Pleurotus* sp and  
209 *Auricularia* sp aqueous extracts would stimulate the immune system after their  
210 absorption in the gastrointestinal tract and the activation of gut-associated lymphoid  
211 tissues, thus integrating different elements of the immune function (Morris *et al.*, 2011).  
212 This may be important in enhancement of cancer chemotherapy through reduction of  
213 side effects particularly the associated immunosuppression. Our extraction method of  
214 boiling corroborates the traditional methods of cooking the mushrooms for food and  
215 medicinal purposes as practiced by many local communities in Uganda.

216

#### 217 **4.0. CONCLUSION**

218 Aqueous extracts of *Auricularia* sp and *Pleurotus* sp from Ugandan rain forests  
219 increased total and differential WBC counts in cyclophosphamide immunosuppressed  
220 *Wistar* rats. This effect was considered an immunomodulatory effect and shows the  
221 potential benefit of the mushrooms in enhancement of cancer chemotherapy through  
222 reduction of side effects of anticancer drugs especially immunosuppression. Application  
223 of these mushrooms in immune suppression research appears to be new as reflected in  
224 the literature. These are however preliminary data to be more completely documented by  
225 further experiments, possibly investigating also some aspect of immune cell functions  
226 (e.g. cytotoxicity or cytokine production).

227

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236 the freeze drying of the mushroom extracts.

237

#### 238 **6.0. COMPETING INTERESTS**

239 The authors declare that there are no competing interests.

240

241



242 **7.0. REFERENCES**

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